## **Dynamics of Haptophytes (Eukaryota, Hacrobia) Revealed**  *via* **Short-Term Sampling in the South China Sea**

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**Abstract** Haptophytes (Eukaryota, Hacrobia) play a crucial role in the energy budget and element cycling of diverse aquatic ecosystems due to their ability to engage in both phototrophic and mixotrophic nutritional modes. Nevertheless, there is a significant lack of knowledge regarding the short-term variations, such as diel dynamics, of their ecological features. During a short time frame in the summer of 2018, samples were collected from three distinct water layers in the South China Sea, including surface water, the deep chlorophyll maximum (DCM) layer, and 200m depth. Fluorescence *in situ* hybridization coupled with tyramide signal amplification was used to quantify haptophyte cell abundance. Most haptophyte communities in all three water layers were composed of cells 2–5 µm in size, while the proportion of cells  $\leq$   $2 \mu$ m increased with water depth. High-throughput sequencing of the V4 hypervariable regions of the *SSU rRNA* revealed that *Chrysochromulina* and *Phaeocystis* predominated the community, and the former was more abundant in the surface water and 200 m depth and the latter was more abundant in the DCM layer. Higher abundance of small cells  $\langle 2 \mu m$  and  $2-5\,\mu$ m) during the night was found compared to the day time, whereas large cells  $(5-10\,\mu$ m and  $10-20\,\mu$ m) were more prevalent during the day time. The results of correlation analyses showed that haptophyte abundance was possibly impacted by both environmental biotic (heterotrophic nanoflagellates, heterotrophic bacteria, and viruses) and abiotic (temperature, salinity, and nutrients) factors.

**Key words** eukaryotic phytoplankton; FISH-TSA; high-throughput sequencing; microbial eukaryotes; size fraction

## **1 Introduction**

Haptophytes (Eukaryota, Hacrobia) are unicellular, freeliving eukaryotic microalgae. They typically have two equal (or slightly unequal) flagella, and a haptonema protrudes from the anterior tip of cells (Tsuji and Yoshida, 2017). Mostly living in marine environments, haptophytes are argued to be the second or third most abundant group of eukaryotic phytoplankton and are widely distributed across oceanic regions, ranging from polar to tropical, thus constituting an indispensable constituent of marine ecosystems (Penot *et al*., 2022). According to their trophic mode, haptophytes are typically photoautotrophic or mixotrophic. Their phototrophic behavior establishes them as major primary producers within the marine microbial food web. According to Liu *et al*. (2009), haptophytes account for 20% –50% of the total chlorophyll *a* (Chl *a*) biomass in the ocean.

Meanwhile, it was documented that a significant proportion of bacterivory was attributed to mixotrophic haptophytes (Unrein *et al*., 2014; Chan *et al*., 2019).

Haptophytes play an essential function not only in the marine energy budget but also in the cycling of elements such as carbon, sulfur, and nitrogen. For example, coccolithophores contribute to the global carbon cycle by generating calcium carbonate, which acts as a  $CO<sub>2</sub>$  source in the ocean's surface waters, and organic matter, which functions as a  $CO<sub>2</sub>$  sink (Reinfelder, 2011). Haptophytes are key producers of dimethylsulfoniopropionate (DMSP) in the Southern Ocean, far exceeding other phytoplankton groups (Kameyama *et al*., 2020). Certain haptophytes can host the obligate symbionts, unicellular cyanobacterial group A (UCYN-A), which can actively fix  $N_2$  in a wide variety of oceanic habitats (Landa *et al*., 2021). The cell-specific rates of UCYN-A symbioses in the Southern California Current System ecosystem are high enough to explain the  $N_2$  fixation of the entire community (Turk-Kubo *et al*., 2021).

It is crucial to understand the environmental driving va-

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riables and the dynamics of haptophyte ecological characteristics, such as cell abundance, biomass, cell size composition, and community structure, due to the vital ecological functions they perform in marine environments. Up until now, studies have primarily focused on either broad timescales (monthly or seasonal) dynamics of the haptophyte community (Unrein *et al*., 2014; Hu *et al*., 2018; Chan *et al*., 2019) or one-time sampling but covering broader locations (Not *et al*., 2008; Lin *et al*., 2014). It has been proposed that diel rhythm drives marine organisms to perform a sea of diel rhythmicity, especially in the euphotic zone (Morimoto *et al*., 2020). Studies also revealed that diel fluctuations of some marine microorganisms can influence biogeochemical processes in the ocean, including nitrogen fixation, sulfonate cycling, and triacylglycerol biosynthesis (Becker *et al*., 2018; Durham *et al*., 2019). However, due to logistic constraints in the sampling in the open ocean, especially when sampling covers deep waters, studies conducted on temporal scales of hours targeting haptophytes remain largely lacking. Diel rhythmicity in the metabolic activity and photosynthesis of many eukaryotic plankton groups has been observed (Hu *et al*., 2018; Lambert *et al*., 2019; Becker *et al*., 2021). In North Pacific high-nutrient, low-chlorophyll waters, the dynamics of metatranscriptomes of >5µm pelagic microbes were revealed *via* sampling at 4h intervals over about 2.6 days, and some haptophyte transcripts, including genes encoding translation-related proteins, histones, and axonemal complexes, also exhibited daynight periodicity (Kolody *et al*., 2019). The diel rhythmicity of eukaryotic phytoplankton is closely related to the dynamics of food webs and biogeochemical cycling (Hernández Limón *et al*., 2020). Thus, it is crucial to obtain comprehensive data on the short-term dynamics of haptophyte communities.

The present study was carried out in the South China Sea (SCS), a marginal sea located in the northwestern Pacific Ocean. A summer cruise was conducted in June 2018, during which water samples were collected every 3h for a duration of 24 h from the deep chlorophyll maximum (DCM) layer, the surface water (5m depth), and the 200m depth. Additionally, measurements were conducted on various environmental parameters, including water temperature, salinity, light, nutrients (nitrate, nitrite, phosphate, ammonium, and dissolved silicate), dissolved organic carbon (DOC), Chl *a*, and picoplankton abundances, including viral-like particles, heterotrophic prokaryotes, *Synechococcus*, *Prochlorococcus*, and photosynthetic picoeukaryotes. Fluorescence *in situ* hybridization coupled with tyramide signal amplification (FISH-TSA) was used to quantify haptophyte cell numbers, and high-throughput sequencing on the V4 hypervariable regions of the *SSU rRNA* was used to determine their molecular community composition. By conducting short-term and high-frequency sampling, this study aims to reveal: 1) changes in the haptophyte abundance/ biomass and cell size composition across three distinct water layers; 2) changes in the haptophyte molecular community composition; and 3) potential environmental variables that influence haptophyte communities.

## **2 Materials and Methods**

#### **2.1 Sample Collection and Measurement of Environmental Parameters**

The sampling of diel cycle water samples followed the Eulerian time-series strategy, where samples were taken at a fixed geographic location over time (Le Bouteiller and Herbland, 1982). Samples were collected at site SCS\_TM (111.32˚E, 18.24˚N) on June 29, 2018 (Fig.1). Seawater samples were collected at regular 3-h intervals (03:00, 06:00, 09:00, 12:00, 15:00, 18:00, 21:00, and 24:00) from three distinct depths: the surface water (5m depth), DCM, and 200m, using Niskin bottles that were attached in a circular rosette around the CTD sensors. *In situ* measurements were taken on salinity, temperature, and photosynthetic active radiation (PAR).

Nutrients and Chl *a* samples were obtained by filtering seawater through a 47mm GF/F (Gleman) glass fiber filter. The filtered samples were then stored at −20℃ until analysis. Chl *a* was extracted in 90% acetone at 4℃ in the dark for 24h after 20min of sonication. Samples were centrifuged at  $4000$  rmin<sup>-1</sup> for 10min and measured using a Turner Designs Model 10 fluorometer. Nutrients, including nitrate (NO<sub>3</sub><sup>-</sup>), nitrite (NO<sub>2</sub><sup>-</sup>), phosphate (PO<sub>4</sub><sup>3-</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), and dissolved silicate (DSi), were analyzed using a Seal AA3 auto-analyzer (Bran-Luebbe, GmbH) according to Li *et al*. (2008).

#### **2.2 FISH-TSA and Observations on Haptophytes**

Seawater samples (*ca*. 200mL) were fixed with formaldehyde (final concentration 2%) for 5–10h, collected onto 0.8 μm pore size ISOPORE (Millipore) membranes, and stored at −20℃ for further processing. The FISH-TSA protocol followed Not *et al*. (2002) and Lin *et al*. (2014). In summary, the filters were subjected to hybridization using PRYM02 (5'-GGA ATA CGA GTG CCC CTG AC-3', Simon *et al.*, 2000) and PRYM03 (5'-GTC AGG ATT CGG GCA ATT-3', Eller *et al*., 2007) probes (1:1 mixed), both of which were horseradish peroxidase-labeled, at a final concentration of  $5$ ng $\mu L^{-1}$  in a hybridization buffer consisting of 40% deionized formamide, 0.9molL−1 NaCl, 20mmol  $L^{-1}$  Tris-HCl, 0.01% sodium dodecyl sulfate (SDS), and 2% blocking reagent (Roche Diagnostic Boehringer). After being hybridized at 35℃ for 3h, the filters underwent two 10-min washes at 37℃ to wash off the nonhybridized probes with a washing buffer containing  $56 \mu$ mol $L^{-1}$  NaCl, 5 mmol $L^{-1}$  EDTA, 0.01% SDS, and 20 mmol $L^{-1}$  Tris-HCl (pH 7.5). The signal was amplified for 30min in the dark at room temperature using an amplification buffer that contained Alexa488-tyramide. Following the counterstaining of cellular nuclei with 4',6-diamidino-2-phenylindole (DAPI) and the mounting with a glycerol mixing antifading reagent AF3 (Citiñuor Ltd.), the filter was examined under an epi-fluorescent microscope (Olympus BX51). Under excitation at a wavelength of 470nm (blue), the FISH signals emit a green color. Cells without the characteristic green color were designated as 'non-haptophyte cells' and were excluded from enumeration.



Fig.1 Location of the sampling station in the South China Sea. The sampling strategy employed in the present study is shown in the lower left corner. The map used in the figure was downloaded from http://bzdt.ch.mnr.gov.cn/index.html.

#### **2.3 Analysis of Nanoflagellates and Picoplankton**

To determine the abundance of nanoflagellate (NF), 40 mL of seawater samples were preserved in glutaraldehyde (final concentration 1%), fixed at 4℃ for 2–4h, filtered onto black polycarbonate membrane filters with a pore size of 0.8μm, and subsequently stained for 10min with DAPI (final concentration  $10 \mu g \text{mL}^{-1}$ ). The filters were examined under an epifluorescence microscope (Olympus BX51). Pigmented nanoflagellates (PNFs) were distinguished from heterotrophic nanoflagellates (HNFs) by their red autofluorescence from chloroplasts under blue light excitation (Caron *et al*., 2017). NF were classified into three size fractions, *i.e.*,  $2-3\mu$ m,  $3-5\mu$ m, and  $5-10\mu$ m. To depict NF cell volumes, the equivalent spherical diameter was calculated using the cell's length and width. The carbon biomass of NF was estimated using a conversion factor of  $0.22 \text{pgC}$ μm−3 (Børsheim and Bratbak, 1987).

Flow cytometry was employed to analyze picoplankton abundance, including heterotrophic prokaryotes (HPs), *Synechococcus*, *Prochlorococcus*, photosynthetic picoeukaryotes (PPEs), and viral-like particles (VLPs). In each test of five replicates, 1.8mL subsamples prefiltered through a 20μm mesh (Safar) were fixed with ice-cold glutaraldehyde (final concentration 0.1%), kept at 4℃ for 20min, flash frozen in liquid nitrogen, and then stored at −80℃ until analyses. The procedures used for flow cytometry analysis were described by Marie *et al*. (1999). To enumerate HPs, *Synechococcus*, *Prochlorococcus*, and PPEs, an Accuri C6 (Becton Dickinson, Franklin Lake, NJ, United States) was used. For the quantification of VLPs, an Epics Altra II

# (Beckman Coulter, Brea, CA, United States) was employed.

#### **2.4 Nucleic Acid Extraction, PCR Amplification, High-Throughput Sequencing, and Sequence Analysis**

At each depth, 2L of seawater were prefiltered through a  $200 \,\mu m$  mesh (Nitex) and collected onto 47-mm diameter, 0.4μm pore size membrane (Millipore) filters. Co-extraction of total DNA and RNA was performed using the All-Prep DNA/RNA kit (Qiagen, USA), as described by Xu *et al*. (2017). Extracted RNA was reversely transcribed to cDNA using the QuantiTect® Reverse Transcription Kit (Qiagen, China), which removed residual DNA prior to the reverse transcription reaction. The universal eukaryotic primers TAReuk454FWD1 and TAReukREV3 were used to amplify the hypervariable V4 regions of the *SSU rRNA* gene (Stoeck *et al*., 2010). For each sample, four independent PCR reactions were conducted to collect enough amplicons for sequencing. PCR products for the same sample were combined and purified using the Wizard® SV Gel and PCR Clean-Up System (Promega, Beijing, China). The samples were sequenced by paired-end  $(2 \times 250$  bp) multiplexed sequencing on the Illumina MiSeq platform at a commercial company. All sequence data have been submitted to the NCBI Sequence Read Archive and are available under the accession number PRJNA1080651.

The raw data underwent quality filtering, demultiplexing, and assembling in accordance with the criteria outlined in Li *et al*. (2021) using Trimmomatic (Bolger *et al*., 2014) and Flash (Magoč and Salzberg, 2011). The quality-filtered reads were subsequently duplicated using Usearch 11

(Edgar, 2010). Following the application of Mothur screening to reads (Schloss *et al*., 2009), only those between 300 and 500 bp were retained for subsequent analysis. Using UNOISE3, reads were clustered into zero-radius OTUs (ZOTUs) after being denoised (Edgar, 2016a). An ZOTU is defined as clusters of sequences with 100% similarity (Edgar, 2016a). Taxonomy assignment on ZOTUs was conducted using SINTAX (Edgar, 2016b) against the PR2 (Protist Ribosomal Reference Database) version 4.11.1, which contains the curated haptophyte reference database (Guillou *et al*., 2012). The generation of ZOTU tables was conducted in Usearch 11, and ZOTUs not associated with Eukaryota were eliminated. The ZOTU table was then normalized at the lowest sequence counts (39116) among all samples. Only Haptophyta-affiliated ZOTUs were left in the final ZOTU table for downstream analysis.

#### **2.5 Statistical Analysis**

Based on Spearman correlation analysis, the Paleontological Statistics (PAST) software (Hammer *et al*., 2001) was used to examine the relationships between the abundance of total and size-fractionated haptophyte and the measured environmental parameters, including water temperature, salinity, PAR, nutrients  $(NO_3^-, NO_2^-, PO_4^{3-}, NH_4^+$ , and DSi), DOC, Chl *a*, and microbial abundances, including VLPs, HPs, *Synechococcus*, *Prochlorococcus*, PPEs, and HNFs.

## **3 Results**

#### **3.1 Environmental Parameters**

Throughout the sampling period, variations in most environmental variables were negligible at each of the three water depths, respectively (Fig.2). The water temperature declined as the water depth increased, whereas the salinity demonstrated an inverse relationship. The concentrations of DSi,  $NO_3^-$ , and  $PO_4^{3-}$ , increased with the increasing water depth. There was no statistically significant variation observed in the concentration of  $NH_4^+$  across the three layers, which ranged  $0.1-0.25 \mu \text{mol}^{-1}$ . As water depth increased, DOC concentrations decreased marginally from  $70 \mu$ mol $L^{-1}$ at the surface water,  $60 \mu \text{mol}^{-1}$  at the DCM layer, to 50  $\mu$ mol $L^{-1}$  at 200 m depth. As expected, clear diel changes of light were found in the surface water and DCM layer, but not at the 200m depth. In the surface water, Chl *a* was marginally higher in the day than at the night. At the DCM layer and 200m depth, Chl *a* was relatively stable and low, with a peak at 12:00 at 200m depth.



Fig.2 Short term changes of water temperature (Temp), salinity (Sal), light, ammonium (NH<sub>4</sub><sup>+</sup>), nitrite (NO<sub>3</sub><sup>-</sup>), nitrate (NO<sub>2</sub><sup>-</sup>), phosphate  $(PO<sub>4</sub><sup>3</sup>)$ , silicate (DSi), dissolved organic carbon (DOC), Chl *a*, and the abundance of heterotrophic prokaryotes (HPs), viral-like particles (VLPs), *Procholorococcus* (*Pro*), *Synechococcus* (*Syn*), and photosynthetic picoeukaryotes (PPEs) in the surface water, DCM layer, and 200m depth, respectively.

The abundances of HPs and VLPs were the highest at the DCM layer ((1.0–1.4) × 10<sup>6</sup> cells mL<sup>-1</sup> for HPs and (5.8–

 $9.0$  ×  $10^6$  cells mL<sup>-1</sup> for VLPs, respectively), followed by the surface water and 200m depth. The abundance of HPs

in the DCM layer peaked at 09:00 and declined to its minimum at 24:00. Similarly, the abundance of VLPs peaked at 21:00 and declined to its minimum at 12:00. In surface water, the abundance of HPs was the highest at 24:00 and the lowest at 15:00; the abundance of VLPs was the highest at 21:00 and the lowest at 12:00, respectively. The abundances of VLPs and HPs at 200m depth remained comparatively stable, with a peak observed at 9:00. The abundance of *Prochlorococcus* at the DCM layer  $((1.45-3.38)\times10^5$ cellsmL<sup> $-1$ </sup>) was higher compared to that at the surface water  $((1.07-8.17) \times 10^2 \text{ cells} \text{mL}^{-1})$ . The abundance of *Prochlorococcus* at the DCM layer increased from 3:00 to 9:00, then fell precipitously at 12:00 before rising again, while that at the surface water remained relatively constant. *Synechococcus* abundance did not differ substantially at both surface water  $((1.20-7.65) \times 10^4 \text{ cells} \text{mL}^{-1})$  and DCM layer ((1.57–2.57)×10<sup>4</sup> cells mL<sup>-1</sup>), except that a peak was observed at 3:00 at the surface water. PPEs exhibited a droprise-drop pattern at the DCM layer, with a substantially higher abundance at the DCM layer  $((1.22-2.02) \times 10^4$ cells mL<sup>-1</sup>) compared to the surface water  $((1.67-2.19) \times 10^3$ cells  $mL^{-1}$ ).

#### **3.2 Temporal Variations in the Abundances and Cell Size Composition of Nanoflagellates (NFs)**

NFs were separated into HNFs and PNFs, which were further divided into 3 groups with different sizes  $(2-3 \mu m,$  $3-5 \,\mu m$ , and  $5-10 \,\mu m$ ), respectively (Fig.3). Overall, the abundance of HNFs was the highest in the surface water  $(374-977 \text{ cells} \text{mL}^{-1})$ , followed by the abundance in the DCM layer (132–615 cellsmL<sup>-1</sup>) and at the 200m depth (96  $-246$  cells mL<sup>-1</sup>). However, there was one exception where the abundance of HNFs in the DCM layer exceeded that in certain night samples involving the  $3-5 \mu m$  size group. At 24:00, the abundance of HNFs was the highest in the surface water, and it was the lowest in the 200m depth at 6:00. The abundance of PNFs in the DCM layer is generally higher (mean±s.e.,  $(1431 \pm 192)$  cellsmL<sup>-1</sup>) than in the surface water ((698 ± 76) cells mL<sup>-1</sup>). The DCM layer experienced an initial increase from 3:00 to 6:00 in the morning, followed by a decrease from 6:00 to 9:00 pm. Following the onset of nightfall, the abundance reverted to its prior state. PNFs were barely observed in the 200m depth ((25  $\pm$ 11)cellsmL<sup>-1</sup>).

The  $2-3 \mu m$  size group comprised the majority of both HNFs and PNFs communities, while the  $5-10 \mu m$  size fraction made a negligible contribution. Meanwhile, with the increasing water depth, the proportions of the  $2-3 \mu m$  size group increased for both HNFs (*ca*. 71.9%, 62.0%, and 73.6% in the surface water, the DCM layer, and 200m depth, respectively) and PNFs (*ca*. 67.1%, 60.2%, and 84.8% in the surface water, the DCM layer, and 200m depth, respectively) (Fig.4).

## **3.3 Temporal Changes in the Abundances, Biomass, and Cell Size Composition of Haptophytes**

FISH-TSA was used to differentiate haptophyte cells from other eukaryotic cells (Fig.5). The average haptophyte abundance in the surface water, DCM layer, and 200m depth were (212±54), (224±54), and (46±4)cellsmL<sup>-1</sup>, respectively. In general, the abundance of haptophytes was the highest at 3:00 at DCM layer, or 6:00 at surface water. It decreased during the day, reached the lowest at 15:00 (DCM layer) or 18:00 (surface water), and rose again during the night. The haptophyte abundance remained relatively stable at 200m depth; however, there were marginally more haptophyte cells observed during the day compared to the night (Fig.6). The haptophyte community was mainly composed of cells  $2-5\mu m$  in size, followed by the  $\leq 2\mu m$ ,  $5-10$  $\mu$ m, and 10–20 $\mu$ m size fractions. In the 200 m depth, picosized haptophytes (pico HAP) typically made a greater contribution to the overall community than those in the surface water and DCM layer (Fig.7A).



Fig.3 Temporal variations in the abundance of size fractionated and total heterotrophic nanoflagellates (HNF) and pigmented nanoflagellates (PNF).



Fig.4 Abundance proportions of size fractionated nanoflagellates in the surface water, DCM layer, and 200m depth, respectively.



Fig.5 Haptophyte (A–E) and non-haptophyte (F) cells under blue (left) and ultraviolet lights (right), respectively.



Fig.6 Temporal variations in the abundance and biomass of size fractionated and total haptophytes revealed by FISH-TSA.



Fig.7 Abundance (A) and biomass (B) proportions of size-fractionated haptophytes to total haptophytes in the surface water, DCM layer, and 200m depth, respectively.

In general, the carbon biomass value of total haptophytes was the highest in the DCM layer, followed by the surface water and the 200m depth (Fig.6). No discernible diel patterns were observed in the surface water and at the 200m depth. However, within the DCM layer, carbon biomass tended to be greater during the day compared to the night. The haptophyte biomass consisted primarily of cells 2–5 µm in size; however, in certain samples, including samples collected from 200m depth at 3:00, DCM layer at 9:00, 5 m depth and DCM layer at 15:00, and 200m depth at 18:00, the contribution of cells  $5-10 \mu m$  in size fraction was the greatest (Fig.7B).

#### **3.4 Temporal Changes in the Molecular Composition of Haptophyte Communities**

The proportions of haptophyte-affiliated sequences to total eukaryotic sequences were 0.21%–36.05%, 0.05%– 7.64%, and 0.06%–5.31% in the surface water, DCM layer, and 200m depth, respectively. The greatest contribution in the surface water was at 21:00, while those in the DCM layer and 200m depth were at 24:00 and 18:00, respectively.

Regardless of depth and time, the haptophyte community was dominated by the genus *Chrysochromulina*. The Phaeocystales, particularly *Phaeocystis*, comprised a greater proportion of the haptophyte communities in the DCM layer. Conversely, the 200m depth showed a greater contribution from environmental clades, including Prymnesiophyceae\_Clade\_B3-E (Fig.8).

## **3.5 Correlations of the Abundance and Biomass of Haptophytes with Environmental Parameters**

Spearman correlation analysis between haptophyte abundance and environmental variables showed the total haptophyte abundance was significantly and positively correlated with temperature, light, the abundances of VLPs and HPs, and negatively correlated with salinity, concentrations of  $NO_3^-$ ,  $PO_4^{3-}$ , and DSi, and the abundance of HNFs (Table 1). However, haptophyte size groups showed distinct correlations with environmental variables. The  $\leq$ 2 µm haptophytes were negatively correlated with salinity and nutrients, including  $NO_3^-$ ,  $PO_4^{\,3-}$ , and DSi, while positively correlated with  $\leq 5 \mu m$  HNFs. Haptophytes  $2-5 \mu m$  and

 $5-10\,\mu$ m in size exhibited similar correlations with environmental variables, with the exception that the cells 2–5μm in size were also positively correlated with 5–10μm HNFs, and the cells  $5-10 \mu m$  in size were also positively correlated with light. The haptophytes,  $10-20 \mu m$  in size, were only positively correlated with light.



Fig.8 Temporal dynamics in the molecular composition of haptophyte communities at the surface water, DCM layer, and 200m depth.





Notes: Numbers in bold indicated *P*<0.01 and numbers underlined indicated *P*<0.05. Blank indicated non-significant correlations.

## **4 Discussion**

#### **4.1 Dynamics of Haptophyte Abundance and Size Fraction Composition**

Haptophyte cells were conventionally classified into either pico- or nano-size fractions (Cuvelier *et al*., 2010; Masquelier *et al*., 2011). Although environmental sequencing coupled with haptophyte-specific primers revealed a huge diversity of pico-sized haptophytes (Liu *et al*., 2009; Edvardsen *et al*., 2016; Sun *et al*., 2022), FISH-TSA showed that nano-sized haptophytes were generally more abundant than pico-sized cells (Not *et al*., 2005; Liu *et al*., 2009; Unrein *et al*., 2014). For example, in some areas, no haptophytes <2μm were found (Marie *et al*., 2010). At an oligotrophic coastal site, 73% of the haptophytes sampled from 5 m depth were  $3-5 \mu m$  in size,  $21\%$  were  $5-20 \mu m$ , and only 6% were <3µm (Unrein *et al*., 2014). In the subtropical western Pacific Ocean, cells  $\leq$ 3  $\mu$ m and 3–5  $\mu$ m accounted for *ca*. 17% and 57% of all haptophytes, respectively (Chan *et al*., 2019). In a study that sampled haptophytes in North Pacific, Mediterranean Sea, and North Atlantic waters, *ca*. 75% of haptophytes ranged between 3 and 5  $\mu$ m (Liu *et al.*, 2009). The current investigation involved the separation of haptophytes into two fractions: <  $2 \mu m$  (pico) and  $2-20 \mu m$  (nano), the latter of which was further subdivided into  $2-5 \mu m$ ,  $5-10 \mu m$ , and  $10-20 \mu m$ . The total abundance of haptophyte cells was  $(212.3 \pm 19.2)$ 

(mean ± s.e.),  $(224.3 \pm 19.5)$ , and  $(46.4 \pm 4.4)$  cells mL<sup>-1</sup> in the surface water, the DCM, and 200m depth, respectively. A small proportion of the total haptophyte abundance in the surface water (*ca*. 14.3%) and DCM layer (*ca*. 9.4%) was attributed to cells  $\leq$   $2 \mu$ m. The haptophyte abundance in the surface water  $((1.6-3.1) \times 10^2 \text{ cells} \,\text{mL}^{-1}$ , averaging  $2.2 \times 10^2$  cells mL<sup>-1</sup>) found in the present study is consistent with previous reports. For example, haptophyte abundance in summer surface water (2 to 3m depth) of the East China Sea ranged from  $4.8 \times 10^1$  to  $3.1 \times 10^3$  cells mL<sup>-1</sup>, with an average of  $6 \times 10^2$  cells mL<sup>-1</sup>, which was higher than the present study (Lin *et al*., 2014). The wider range of haptophyte abundance found in Lin *et al*. (2014) may be explained by their broader sampling area. An annual investigation conducted at a coastal site in the Mediterranean revealed that the abundance of pico-sized haptophytes fluctuated around 40 cells  $mL^{-1}$ , whereas nano-sized haptophytes consistently surpassed 300 cellsmL<sup>-1</sup> (average: 804 cellsmL−1) (Unrein *et al*., 2014). The higher haptophyte abundance observed by Unrein *et al*. (2014) may be caused by the higher latitudinal location of their sampling site, which is consistent with previous findings, indicating that haptophytes are generally more abundant in high latitude areas (Endo *et al*., 2018). Higher haptophyte abundance was found in the oligotrophic coastal waters of northern Taiwan, China, where the annual average abundance of haptophytes in the surface water was  $(465±121.56)$ cellsmL<sup>-1</sup>, peaking in July (*ca*. 1173 cellsmL−1) (Chan *et al*., 2019).

In the present study, haptophytes  $\leq$  2  $\mu$ m and 2–5 $\mu$ m in size were found to be more abundant at night compared to the day in the surface water and DCM layer. During the day, the abundance decreased from 6:00 to 15:00, increased during the day-night transition from 18:00, and then continued to rise during the night. This elevated abundance observed during the night may be ascribed to the characteristic synchronous reproductive pattern of haptophytes. In contrast to Bacillariophyceae, the majority of haptophyte cell division occurs only at night (Mas *et al*., 2008). Although haptophytes  $5-10 \mu m$  and  $10-20 \mu m$  in size made only a negligible contribution to the total haptophyte abundance, a higher abundance during the day compared to the night was found in the surface water and DCM layer. Studies have shown that mixotrophic haptophytes  $3 - 5 \mu m$  in size removed more bacteria than cells >5µm in size (Unrein *et al*., 2014; Chan *et al*., 2019). It is assumed that many haptophytes  $> 5 \mu m$  in the present study are photoautotrophic, which are more sensitive to light conditions than the mixotrophic haptophytes. This may account for the higher abundance of haptophytes  $5-10 \mu m$  and  $10-20 \mu m$  in size observed during the day compared to the night. Furthermore, it is worth noting that most metabolism related to energy storage occurs during the day, which may account for the peak abundance of both total and nano-sized haptophyte biomass observed at 9:00 (Fig.6). However, it must be acknowledged that our survey was conducted for only 24h. It is plausible that such a brief monitoring period might overlook valuable insights into the dynamics of the haptophyte community. Further study with a longer period might disclose more specific details.

#### **4.2 Haptophytes Found in the Deep (200m Depth) Water**

Most previous studies on haptophytes have focused on the marine euphotic zone, including the surface water and DCM layer. Recent studies have identified mixotrophic activity in certain haptophyte groups, such as *Chrysochromulina* and *Prymnesium*. The mixotrophic nutritional mode provides haptophyte cells with an advantage over other phototrophic algae in certain environments, such as those with low light or with nutrient depletion, by obtaining carbon or phosphate through grazing. Through sampling haptophytes from both the surface and bottom (*ca*. 1% of the PAR of the surface euphotic zone) euphotic zones, Chan *et al*. (2019) determined that the abundances of haptophytes in the two depths were comparable and that the ingestion rates of haptophytes did not vary substantially between these two depths. Through the sampling period, the haptophyte abundance at 200m depth in the present study remained constant at  $(46.4\pm4.4)$ cellsmL<sup>-1</sup>, which is significantly lower than that in the surface water. Lack of light at 200m in the current study might account for the discrepancy in haptophyte abundance between the present study and that of Chan *et al*. (2019). Studies have shown that *Prymnesium parvum* can endure for a certain duration in low light conditions, potentially owing in part to its mixotrophic activity (Brutemark and Granéli, 2011; Liu *et al*., 2016). At 200m depth, the abundance and biomass of haptophytes do not exhibit any discernible rhythmicity. The absence of light at 200m depth may impede the growth of certain phototrophic haptophyte groups, potentially accounting for the significantly reduced abundance of haptophytes in comparison to the surface water/DCM layer. A recent study by Xu *et al*. (2018) revealed the presence of eukaryotic phytoplankton cells in the deep western Pacific Ocean, and an analysis of the *psbA* gene transcripts revealed that haptophyte affiliated sequences, including *Prymnesium*, predominated. Therefore, the haptophyte cells observed at 200m depth in the present study might consist of a combination of mixotrophic and phototrophic cells. The former may have survived by consuming prokaryotes and the latter by means of rapid sinking mechanisms (Xu *et al*., 2018). However, additional research is required to investigate the mixotrophic activity of haptophytes in the SCS, particularly in the deep waters, through the integration of TSA-FISH and grazing experiments.

## **4.3 Molecular Composition of Haptophyte Communities**

Due to the high GC content in the haptophyte *SSU rRNA* gene, haptophytes were usually underrepresented in environmental surveys using universal eukaryotic primers targeting the *SSU rRNA* gene (Vaulot *et al*., 2002). Studies have shown that group-specific primers may be capable of recovering a greater diversity of the targeted groups (Liu *et al*., 2009). To obtain a preliminary understanding of the spatial and temporal dynamics of haptophytes in the SCS, the current study used general eukaryotic primers that targeted the V4 regions of the *SSU rRNA* gene, notwithstanding

the primers' limitations. The present study revealed that haptophyte sequences comprised a relatively minor fraction of eukaryotic sequences across all three water layers, with the maximum proportion (*ca*. 36.05%) observed at 21:00 in the surface water. Conversely, our observation did not find the highest cell abundance of haptophytes at this time point. This suggests that the relative abundance of sequences does not necessarily correspond to the true abundance of cells counted by FISH-TSA, as there are substantial differences in the *SSU rRNA* gene copy number among eukaryotic groups. *Chrysochromulina* species dominated haptophyte communities across all three water layers in the present study, whereas *Phaeocystis* species predominated the DCM communities. Using haptophyte specific primers, surveys of haptophytes in other oceanic regions, such as the Arctic Ocean, the Oslofjorden, the East China Sea, the Pacific Ocean, and the Tara Expedition's global survey of marine microorganisms, yielded comparable results (Egge *et al*., 2015; Gran-Stadniczeñko *et al*., 2017; Endo *et al*., 2018; Sun *et al*., 2022). The mixotrophic capability of *Chrysochromulina* species is widely recognized, particularly in environments with low light and nutrients (Kawachi *et al*., 1991). This characteristic confers on them an edge over pure phototrophic microalgae and enables them to thrive in the oceans (Liu *et al*., 2009; Penot *et al*., 2022). In the DCM layer, *Phaeocystis* species exhibit rapid growth due to the favorable light-nutrient balance, which at times even supersedes *Chrysochromulina* and dominates the haptophyte communities (Endo *et al*., 2018). Although lights were undetectable at 200m depth, diverse haptophyte assemblages were still discovered from the RNA extracts. Given the fast-degrading rates of extracellular RNA, communities revealed by RNA-based sequencing were proposed to reflect the active members within the communities (Massana *et al*., 2015; Xu *et al*., 2017). The 200 m haptophyte communities were dominated by *Chrysochromulina* species, followed by environmental clades Prymnesiophyceae Clade\_B3-E, and other groups. If the haptophyte communities identified at the 200m depth in the present study do reflect the active members, we hypothesized that these cells were transported from the surface water *via* various fast sinking mechanisms, such as the formation of fecal pellets, and that some of them survived *via* phagotrophy. However, additional ingestion experiments were required to confirm this hypothesis. Furthermore, the lower contribution of environmental clades, including Prymnesiophyceae Clade\_B3-E, to the haptophyte communities in the surface water and DCM layer compared to the 200m depth suggests that these clades may have relied on phagotrophy as a means of survival. Further research is required to elucidate their identities (*e.g.*, morphology) and functions in the biogeochemical cycling that occurs in the water column, particularly in the deep, dark water.

#### **4.4 Correlation Between Haptophyte Abundance and Environmental Variables**

Environmental conditions play an important role in shaping the community composition and metabolic activity of haptophytes. Consistent with previous research, the abundance of the total haptophyte community and the  $2-5\,\mu$ m

and  $5-10\,\mu$ m sized groups were found to be positively correlated with temperature and salinity and negatively correlated with the concentrations of nitrate, phosphate, and silicate (Endo *et al*., 2018). A significant portion of bacterivory in the global ocean is attributed to mixotrophic haptophytes (Unrein *et al*., 2014). Although we did not distinguish mixotrophic haptophytes from the total haptophyte community, our study revealed a strong correlation between the abundance of HPs and the abundance of  $2-5 \mu m$  and  $5-10 \mu m$  haptophytes. Prior studies have demonstrated that bacterivory of haptophytes primarily occurred within the size fraction of 2–10μm (Unrein *et al*., 2014; Chan *et al*., 2019), and our results suggest that bacteria might serve as an important food source for mixotrophic haptophytes in the SCS. In the meantime, the abundance of VLPs and HNFs was positively correlated with haptophytes  $2-5 \mu m$  and 5  $-10 \mu m$  in size, suggesting that VLPs and HNFs may exert top-down controls on small haptophytes through mechanisms such as viral lysis and grazing. It is important to acknowledge that the statistically significant correlations observed in this study do not necessarily imply the actual close relationships between haptophyte abundance and environmental parameters. Studies have shown that haptophyte abundance responds to various environmental variables. For example, in the East China Sea, haptophyte abundance was negatively correlated with temperature in spring, but did not correlate with nutrients (Lin *et al*., 2014). According to a monthly, year-round investigation conducted at the Blanes Bay Microbial Observatory on the Catalan coast, there was no significant correlation between haptophyte abundance and nutrients or available light parameters (Unrein *et al*., 2014). It is therefore reasonable to assume that intricate environmental factors influence the dynamics of haptophytes in the ocean. Future research that integrates the separation of function groups (*i.e.*, photoautotrophic and mixotrophic groups), measurements on comprehensive environmental parameters, and transcriptomic data will shed more light on the activity and driving mechanisms of haptophytes in diverse marine environments (Unrein *et al*., 2014; Chan *et al*., 2019; Kolody *et al*., 2019; Xie *et al*., 2022).

## **5 Conclusions**

By applying FISH-TSA and high-throughput sequencing techniques, this study investigated the short-term dynamics of haptophyte abundance, cell size composition, and molecular community composition in three distinct water layers in the South China Sea: surface water, the DCM layer, and 200m depth. Haptophyte communities in all three water layers were dominated by cells  $2-5 \mu m$  in size. A higher abundance of small cells ( $\leq$  2 $\mu$ m and 2–5 $\mu$ m) was observed during the night compared to that of the day time, whereas large cells  $(5-10 \,\mu m)$  and  $10-20 \,\mu m$ ) were more prevalent during the day time. Members of *Chrysochromulina* and *Phaeocystis* predominated haptophyte communities, with the former being more abundant in the surface water and 200m depth and the latter being more prevalent in the DCM layer. Close correlations between haptophyte abundance and multiple environmental biotic and abiotic

variables indicated that haptophyte communities in the ocean are probably shaped by complex environmental parameters, which needs further research due to their ubiquitous presence and flexible nutrition modes.

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