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# Modeling the contribution of the microbial carbon pump to carbon sequestration in the South China Sea

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**Abstract** The two key mechanisms for biologically driven carbon sequestration in oceans are the biological pump (BP) and the microbial carbon pump (MCP); the latter is scarcely simulated and quantified in the China seas. In this study, we developed a coupled physical-ecosystem model with major MCP processes in the South China Sea (SCS). The model estimated a SCS-averaged MCP rate of  $1.55 \text{ mg C m}^{-2} \text{ d}^{-1}$ , with an MCP-to-BP ratio of 1:6.08 when considering the BP at a depth of 1000 m. Moreover, the ecosystem responses were projected in two representative global warming scenarios where the sea surface temperature increased by 2 and 4°C. The projection suggested a declined productivity associated with the increased near-surface stratification and decreased nutrient supply, which leads to a reduction in diatom biomass and consequently the suppression of the BP. However, the relative ratio of picophytoplankton increased, inducing a higher microbial activity and a nonlinear response of MCP to the increase in temperature. On average, the ratio of MCP-to-BP at a 1000-m depth increased to 1:5.95 with surface warming of 4°C, indicating the higher impact of MCP in future ocean carbon sequestration.

**Keywords** South China Sea, Microbial Carbon Pump, Global change, Numerical model

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## 1. Introduction

Ocean carbon sequestration is defined as the addition of inorganic or organic carbon to an oceanic reservoir that holds the capacity to accumulate and store carbon (IPCC, 2013). In the ocean, biological mechanisms for long-term carbon sequestration are not fully understood. Specifically, the two key mechanisms for biological carbon sequestration in the ocean are the biological pump (BP) (Chisholm, 2000) and the newly proposed microbial carbon pump (MCP) (Jiao et al., 2010, 2014a). The BP is a series of processes in which organic carbon is fixed from CO<sub>2</sub> at the ocean's surface and

then vertically transported to the interior. Most of the organic carbon is converted to dissolved inorganic carbon, which is eventually ventilated to the surface again through the thermohaline circulation (Ducklow et al., 2001). While only a small fraction of the particulate organic carbon (POC) can escape from the mineralization processes and contribute to long-term storage and burial (Passow and Carlson, 2012), MCP involves a process in which a part of labile POC and dissolved organic carbon (DOC), utilized by marine heterotrophic bacteria and archaea, can be efficiently transformed into recalcitrant dissolved organic carbon (RDOC) resistant to rapid biological degradation (Hansell, 2013). This has been demonstrated by lab experiments and field

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observations (Gruber et al., 2006; Lechtenfeld et al., 2015; Ogawa et al., 2001). Considering the 624 Gt C inventory of oceanic RDOC (Hansell, 2013), which is comparable to the atmospheric CO<sub>2</sub> reservoir (~750 Gt C), and the extremely long age of RDOC (4000–6000 years; Bauer et al., 1992), the MCP can be a vital mechanism with huge potential for carbon sequestration. The estimated annual production of RDOC in the MCP of ~0.2 Pg C (Legendre et al., 2015) accounts for nearly 10% of the ocean uptake of atmospheric CO<sub>2</sub> each year (2.5 Pg C yr<sup>-1</sup> by Le Quéré et al. (2014)).

The production of RDOC interacts with the production of POC through complex interactions between the two processes (Jiao et al., 2014a). In addition, both processes have large spatial and temporal variations (Jiao et al., 2010). The sinking particles dissolve and are utilized by bacteria; this leads to production of RDOC and contribution to the MCP. The absorption of RDOC onto sinking particles can be regarded a way that the MCP fuels the BP. Concretely, the relative rate of the BP and the MCP would have significant impacts on ocean carbon sequestration and consequently on the overall carbon cycle of the Earth system (Jiao et al., 2014a; Legendre et al., 2015).

Ecosystem modeling is a useful approach in quantifying the relative importance of the BP and MCP as well as in identifying key processes controlling the two mechanisms (Jiao et al., 2014a). While simulations of the BP have been quantitatively constructed upon well-accepted numerical models, modeling of the MCP is preliminary and hypothetical (Legendre et al., 2015). Therefore, although the BP has been extensively studied in the China seas (Liu and Chai, 2008; Liu et al., 2002; Ma et al., 2014), the MCP is still overlooked. Filling this knowledge gap is critical to allow for comparing the effects and responses of the two carbon sequestration mechanisms to the changing climate and increasing CO<sub>2</sub> levels.

The South China Sea (SCS), shown below in Figure 1, is a large semi-enclosed marginal sea in the Western Pacific Ocean. The basin of the SCS can be as deep as 5000 m, surrounded by extensive continental shelves. While the coastal water supply of SCS is subjected to various river inputs (e.g., the Pearl River and the Mekong River), the interior water is typically oligotrophic year-round (Wong et al., 2007). This characteristic makes the SCS an ideal site to comparatively study the BP and MCP carbon sequestration under significant environment gradients.

Here, the model and experimental framework are first described in detail, particularly the MCP-related variables and processes, the configuration, and the experiments of the physical-ecosystem coupled model. Results are then presented from the standard runs and the sea surface temperature (SST) warming scenario project runs, with emphasis on

comparing the BP and MCP rates and their relative contribution to the carbon sequestration. Finally, the physical and ecological factors controlling the spatiotemporal distribution of the MCP rates are discussed.

## 2. Model and methods

### 2.1 Physical-ecosystem coupled model

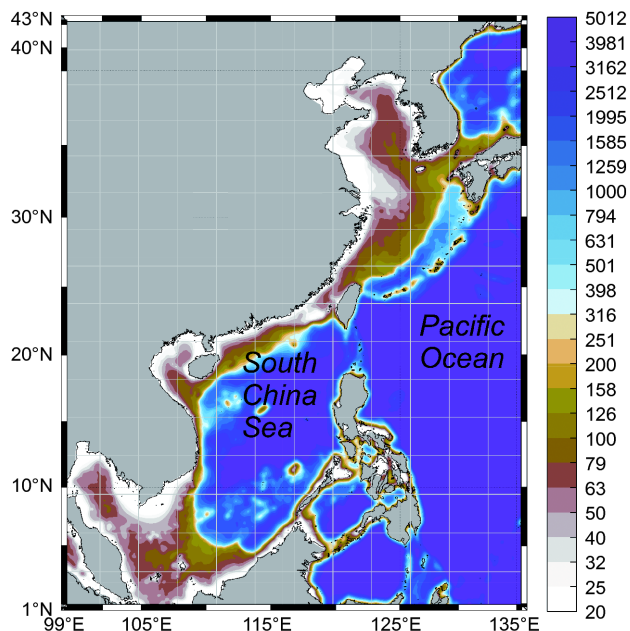
The physical model used in this study was adopted from the operational Taiwan Strait Nowcast/Forecast (TFOR) system (Jiang et al., 2011; Lin et al., 2016) widely applied in multi-purpose oceanic studies in the China seas (Liao et al., 2013; Lu et al., 2017, 2015; Wang et al., 2013), which is based on the Regional Ocean Model System (Shchepetkin and McWilliams, 2005). The 1/10° model domain covers the entire SCS as well as a substantial part of the open North-Western Pacific Ocean, as shown in Figure 1. The model is driven with the atmospheric forcings obtained from the National Centers for Environmental Prediction (NCEP) Reanalysis data (Kalnay et al., 1996).

The ecosystem model used in this study was based on the Carbon, Silicon, Nitrogen Ecosystem (CoSINE) model (Xiu and Chai, 2014), which has been extensively applied in studying the primary productivity (Liu and Chai, 2008), phytoplankton community structure (Ma et al., 2013), and the impacts from mesoscale eddies (Guo et al., 2015) in the China seas. The CoSINE model includes 31 ecosystem variables, including four inorganic nutrients (nitrate, ammonium, silicate, and phosphate), three phytoplankton functional groups (picophytoplankton, diatoms, and coccolithophorids), two zooplankton classes (microzooplankton and mesozooplankton), four detritus pools (particulate organic nitrogen, POC, particulate inorganic carbon, and biogenic silica), four dissolved organic matter pools (labile (LDOM) and semi-labile (SDOM) for both carbon and nitrate), and bacteria. Please refer to Lu et al.<sup>1)</sup> for the detailed model configuration and validation.

### 2.2 MCP module

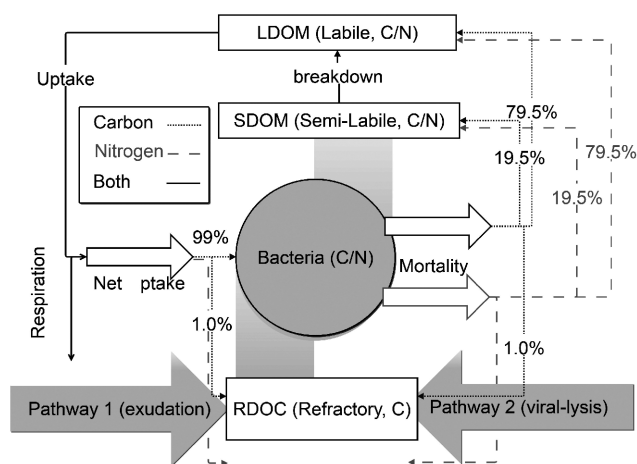
The CoSINE model was revised for this study by incorporating an explicit RDOC pool and the MCP processes, shown in Figure 2. The magnitude of the MCP, termed  $P_{\text{RDOC}}$  hereafter, is defined as the production rate of long-lived DOC components, i.e., RDOC (Legendre et al., 2015). Bacteria was suggested to be the major producer of ocean RDOC, which accounted for 25% of the ocean RDOC (Benner and Herndl, 2011; Lechtenfeld et al., 2015), while higher trophic levels had less contribution (Gruber et al., 2006). Other biotic or abiotic factors might also contribute to  $P_{\text{RDOC}}$ .

1) Physical modulation to the biological productivity in the Summer Vietnam upwelling system. Submitted to *Ocean Science*



**Figure 1** Model domain with the bathymetry in meter. Gray mesh shows the model grid every 25 nodes.

However, [Osterholz et al. \(2015\)](#) indicated that microbial communities alone were capable of producing DOC that are similar in reactivity and composition with the global ocean RDOC pool. Hence, the RDOC in the model is produced via two bacteria-related pathways: (1) direct exudation by bacteria and (2) passive release from viral lysis of microbial cells. The additional POC degradation pathway ([Jiao et al., 2010](#)) is implicitly included by transforming from POC to labile/semi-labile organic carbon and then to RDOC via the two pathways. At the temporal scale shorter than a decade, the produced RDOC is considered to be non-degradable. The rate of RDOC production in the model was constrained to approximately  $\sim 0.04 \mu\text{M yr}^{-1}$  using a bulk RDOC concentration of  $40 \mu\text{M}$  ([Hansell, 2013](#)) and an average resident time of 1000 years for the RDOC pool. This resident time is shorter than the radiocarbon age of 4000–6000 years observed in the deep waters of the oligotrophic oceans ([Bauer et al., 1992](#)), as the coastal waters modeled are much more productive and thus have a higher RDOC production rate. This constraint resulted, through model tuning, in 1.0% of bacteria net production and 1.0% of the bacteria mortality contributing to the RDOC pool, as shown in [Figure 2](#), although there were no constraints on the relative contribution from the two pathways based on the current knowledge. After spinning up the physical model for 13 years initialized from 1990, the model was restarted with the ecosystem module driven by interannual forcing from 2002 to 2011. The  $P_{\text{RDOC}}$  showed a minimal interannual trend after approximately 5 years of run time. Therefore, the following estimations are based on the modeled outputs of last four years, from 2007 to 2011.



**Figure 2** Schematic diagram of the MCP module.

### 2.3 Numerical experiment

It has been speculated that even small perturbations, such as a one-degree increase in seawater temperature, could change the relative contribution to carbon sequestration via the BP versus the MCP ([Jiao et al., 2014a](#)). To test this hypothesis, two numerical experiments were designed to simulate scenarios representative of the potential anthropogenic effect of global warming. [Huang et al. \(2014\)](#) analyzed the projected state of the SCS from 32 models from the Coupled Model Intercomparison Project Phase 5 project, showing the upper limits for the projected SST warming of  $2.0^\circ\text{C}$  for RCP4.5 and  $4.0^\circ\text{C}$  for RCP8.5 (representative concentration pathways driven by additional radiative forcing of  $4.5$  and  $8.5 \text{ W m}^{-2}$ ) by 2100 ([Huang et al., 2014](#)). To represent the two scenarios, the SST was nudged toward the SST of  $2$  and  $4^\circ\text{C}$  higher than the World Ocean Atlas (WOA) climatology, respectively, while the SST in the standard run was nudged toward WOA climatology. An additional net heat flux correction term was included during time-stepping as below in [eq. \(1\)](#) ([Barnier et al., 1995](#)).

$$Q_{\text{net}} = Q_{\text{net}}^0 + \left( \frac{\partial Q_{\text{net}}}{\partial T} \right) (SST - SST^{\text{clim}}), \quad (1)$$

where, SST and  $SST^{\text{clim}}$  are the modeled and climatological SST, respectively, where the climatology can be replaced with the  $2$  or  $4^\circ\text{C}$  climatology;  $Q_{\text{net}}^0$  is the unadjusted NCEP net heat flux; and  $\left( \frac{\partial Q_{\text{net}}}{\partial T} \right)$  is the sensitivity of net heat flux to SST as is prescribed by the Comprehensive Ocean-Atmosphere Data Set ([Woodruff et al., 1987](#)). Once below the surface, the warming will propagate downward through the subsurface ocean by physical diffusion and advection processes. The water temperature  $T$  controls the nutrient uptake process of phytoplanktons by multiplying an exponential



function  $T_{\text{control}}$ , defined in eq. (2), as a temperature-limiting factor (Xiu and Chai, 2014).

$$T_{\text{control}} = e^{-4000 \times \left( \frac{1}{T+273.15} - \frac{1}{303.15} \right)} \quad (2)$$

The experimental cases are referred to as ExpT2 and ExpT4 hereafter, representing the case of a rise in SST of 2 and 4°C, respectively.

### 3. Results

#### 3.1 Spatiotemporal variability of MCP and BP

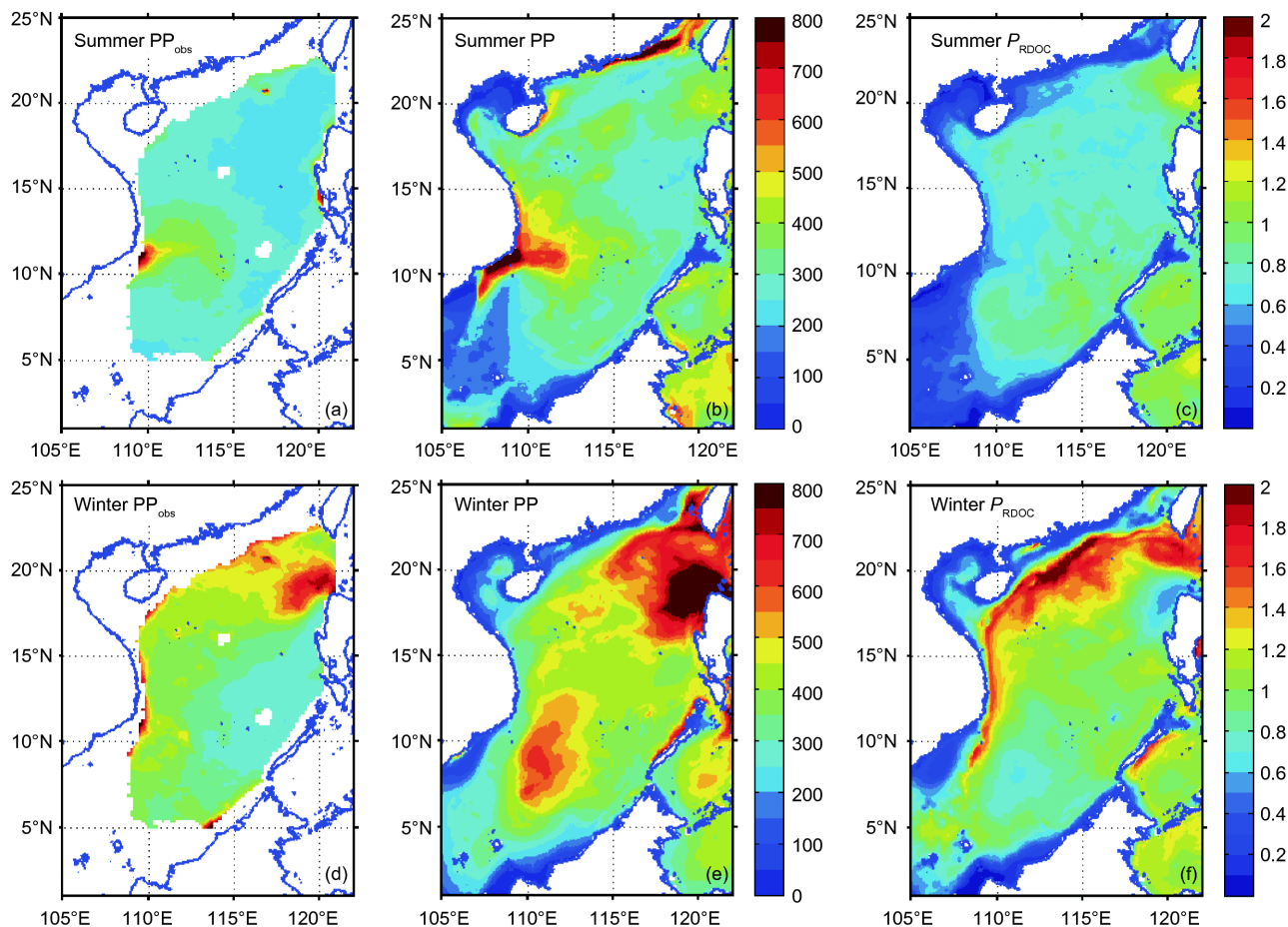
Driven by the East Asian Monsoon and regional circulation, both observed and modeled integrated primary production (PP) in the SCS presented a significant seasonal contrast with spatial variation. The observed integrated PP is presented for summer and winter in Figure 3a and 3d, respectively, and the modeled integrated PP for summer and winter is found in Figure 3b and 3e, respectively. All vertical integration was conducted over the entire water column. In summer, high productivity appeared near the Vietnam coast, which was stimulated by intensive coastal upwelling and the jet separation, as shown in Figure 3a and 3b (observed and modeled, respectively). The observed gradient of high jet-influenced productivity to low central-water basin productivity was also reflected in the model. The observed summer blooms of the East Hainan Island and the Pearl River plume were also discernable in the model. The PP basin-wide was higher in winter than in summer owing to the enhanced surface mixing. In particular, the winter bloom northwest off the Luzon Island was significant, as shown in Figure 3d and 3e.

The surface 100 m integrated DOC inventory is shown for summer and winter in Figure 4a and 4c, respectively. Driven by physical and biological processes, this was higher when the intrusion of Kuroshio was stronger, and lower in intense upwelling regions. These results are consistent with the *in situ* observation by Wu et al. (2015). The surface 100 m bacteria concentration, shown for summer and winter in Figure 4b and 4d, respectively, therefore demonstrated substantial spatiotemporal variability. Given the conversion factor of 10 fg C cell<sup>-1</sup> for bacteria (Ducklow, 2000), the modeled bacteria concentration is roughly of the same order of magnitude with the observed bacteria abundance at 2 × 10<sup>5</sup> cell mL<sup>-1</sup> (Jiao et al., 2014b). As a consequence, the  $P_{\text{RDOC}}$ , shown for summer and winter in Figure 3c and 3f, respectively, was generally higher in winter than in summer. Interestingly, in some regions with high PP, e.g., the Vietnam coast in summer, or the winter bloom area off of Luzon Island,  $P_{\text{RDOC}}$  was much lower than in the surrounding water. This could be explained by the phytoplankton community structure, which will be further discussed in Section 4.

A time series of the SCS-averaged productions is presented in Figure 5 to display temporal variation. PP in the SCS showed a clear seasonal cycle, where values were higher in winter (December, 380 mg C m<sup>-2</sup> d<sup>-1</sup>), fueled by enhanced mixing (Lu et al., 2015), and lower in summer (June, 239 mg C m<sup>-2</sup> d<sup>-1</sup>). Both satellite-derived and modeled PP presented substantial interannual variation that was modulated by the El Niño and Southern Oscillation variability (Chai et al., 2009), while the observed signal was more pronounced. Our model provided an estimation of annual mean PP at 313 mg C m<sup>-2</sup> d<sup>-1</sup>, comparable with previous studies (e.g., 354 mg C m<sup>-2</sup> d<sup>-1</sup> in Liu et al. (2002) and 343.2 mg C m<sup>-2</sup> d<sup>-1</sup> in Ma et al. (2014)). In the model, the new production (NP) was defined as the production supported by a new source of nitrogen, i.e., nitrate, while the regeneration production (RP) was the production supported by ammonium uptake (Ma et al., 2013). NP and RP suggested a similar seasonal cycle while the latter lagged for ~1 month. The seasonal cycle of RP was somewhat flatter than that of NP, as shown in Figure 5. The  $f$ -ratio in the model was 0.49, higher than the modeled value of ~0.4 in Ma et al. (2014) and <0.47 observed in Chen (2005). Nevertheless, generally high  $f$ -ratio in SCS can be confirmed among studies.

Although in many studies, the BP is quantified as the rate of sinking POC at a depth of approximately 100 m, it can be better represented as the sedimentation of POC out of the mesopelagic zone at depth of approximately 1000 m, as below this, the carbon can be stored for 100 years or more (Legendre et al., 2015; Passow and Carlson, 2012). Thus, in this study, we used the modeled organic carbon export at a depth of 1000 m as the indicator for BP (BP<sub>1k</sub>), considering the vertical velocity from the physical model with a constant 15 m d<sup>-1</sup> sinking velocity.

To further illustrate the seasonal cycle of the production in SCS, the mean seasonal cycles of the SCS-averaged RP, NP,  $P_{\text{RDOC}}$ , and BP<sub>1k</sub> are shown in Figure 6. The magnitudes of RP and NP were higher during transition from winter to spring, consistent with previous simulations (Ma et al., 2014) and observations (Chou et al., 2005). Controlled by the bacteria production,  $P_{\text{RDOC}}$  covaried with the RP, since the bacteria monopolized the remineralization process in the CoSINE model. Meanwhile, the intensity of bacteria activity controlled the production rate of RDOC (Ogawa et al., 2001). Conversely, the BP<sub>1k</sub> was high in spring and low in autumn. The correlation coefficient between BP<sub>1k</sub> and PP peaked near 0.6 when lagged by 3 months, which can be interpreted as the approximate time for POC to sink from the surface to a depth of 1000 m at speed of 15 m d<sup>-1</sup>, set by the model. This interpretation was supported by investigating the time lag of, for instance, the export production at 2000 m (BP<sub>2k</sub>) with respect to PP. In this example, BP<sub>2k</sub> lagged PP for ~5 months, demonstrating the validity of this interpretation.



**Figure 3** Satellite-based estimation of vertical-integrated net primary production ( $PP_{\text{obs}}$ ) in (a) summer and (d) winter; modeled primary production (PP) in (b) summer and (e) winter;  $P_{\text{RDOC}}$  for multiyear mean in (c) summer and (f) winter. All units are  $\text{mg C m}^{-2} \text{d}^{-1}$ . The  $PP_{\text{obs}}$  was derived via the standard chlorophyll-based Vertically Generalized Production Model algorithm (Behrenfeld and Falkowski, 1997) available at <http://www.science.oregonstate.edu/ocean.productivity/>.

### 3.2 Results of warming experiments

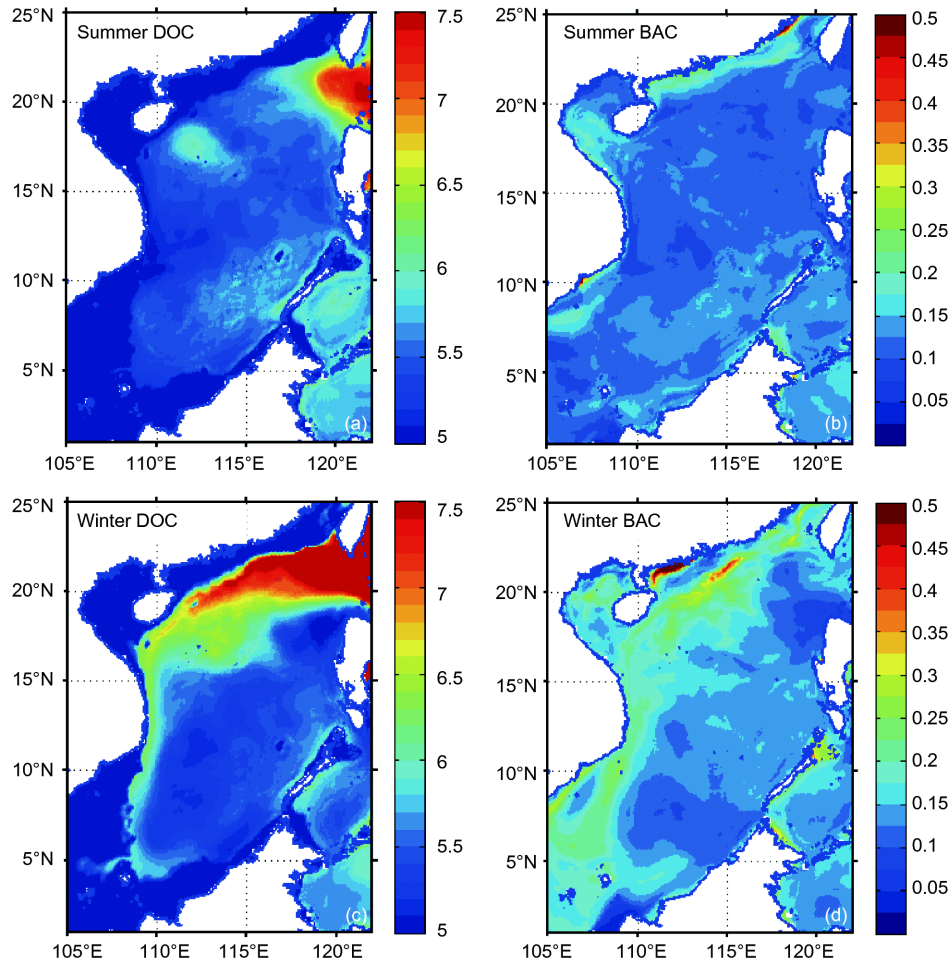
On a climatological monthly basis, the changing rates in production (as percentages) in the warming experiments with respect to the standard run are presented in Figure 7 and Table 1. Due to the increased stratification and weaker entrainment, the nutrient supply was suppressed, leading to an overall reduction in NP (−2.4%) and RP (−1.5%). These results are comparable with previous global projections, which gave estimations of a reduction of 2.1% PP under the RCP4.5 (Moore et al., 2013). The reduction indicated higher sensitivity in NP to global warming. The changing rates presented more significant seasonal variability in NP than that of RP. The reduction of RP was larger in summer and autumn than during the winter and spring, as shown in Figure 7. The  $BP_{1k}$  responded to the suppressed production with an all-year reduction, dominated by a considerable reduction in diatom production, as shown in Table 1. The multiyear mean decline rate of  $P_{\text{RDOC}}$  was −1.4%, while the value was −1.5% for  $BP_{1k}$ , leading to a slightly increased MCP-to-BP ratio. Interestingly, the  $P_{\text{RDOC}}$  conversely increased during March

and August, when the RP reduction was weak, implying that the warming condition favored MCP in some situations.

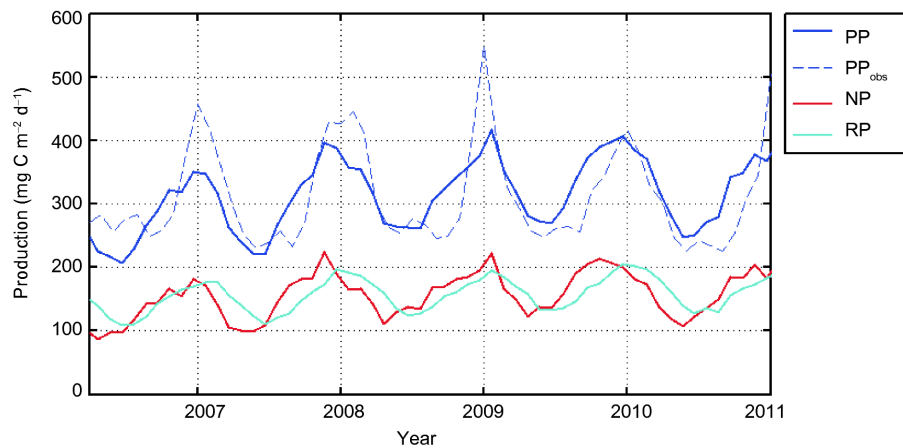
The results of ExpT4 suggest that the ecosystem response could be disproportionate to surface warming. The decline in NP (−1.7% in ExpT4 vs. −2.4% in ExpT2) and RP (−0.03% vs. −1.5%) was partially compensated by increased production in some months, whereas the compensation in RP was more notable. This is displayed in Figure 8. The response of  $BP_{1k}$  was consistent with ExpT2, while the signal was even stronger, suggesting that the BP could be more sensitive to surface warming. Since the RP and  $P_{\text{RDOC}}$  were closely tied to microbial activity, the response in the two processes presented similar seasonal character. As a consequence of higher declines in BP than  $P_{\text{RDOC}}$ , the MCP-to-BP ratio increased to 1:5.95.

## 4. Discussion

Globally, the rates of RDOC-based MCP (0.18–0.38  $\text{Pg C yr}^{-1}$ ) and POC-based BP (0.43–0.66  $\text{Pg C yr}^{-1}$ ) sequestra-



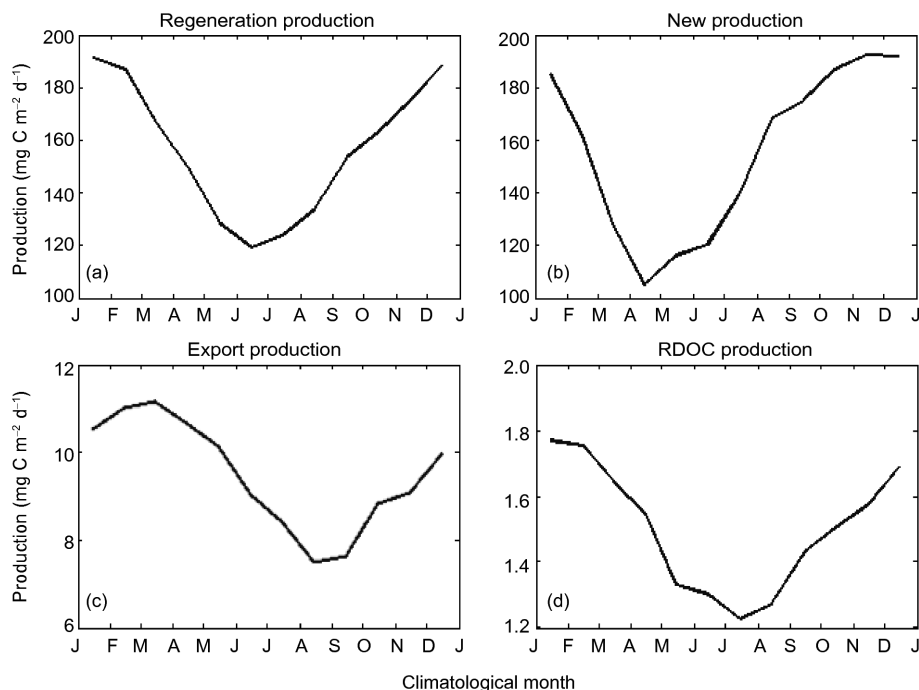
**Figure 4** Surface 100 m integrated DOC (unit:  $\text{mol m}^{-3}$ ) in (a) summer and (c) winter; surface 100 m average BAC (unit:  $\text{mmol C m}^{-3}$ ) in (b) summer and (d) winter.



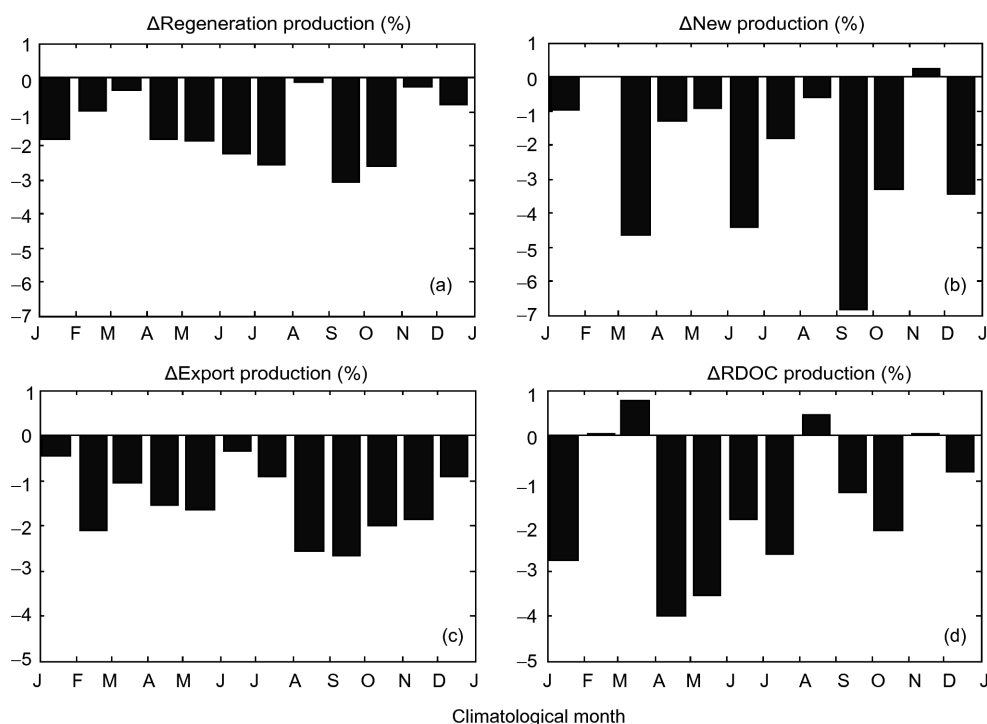
**Figure 5** Time series of the SCS-averaged productions (unit:  $\text{mg C m}^{-2} \text{d}^{-1}$ ). PP, primary production;  $\text{PP}_{\text{obs}}$ , satellite-based observation of PP; NP, new production; and RP, regenerated production. The model productions are vertically integrated to the bottom. The region with water depth shallower than 120 m was masked to remove the coastal overestimation of  $\text{PP}_{\text{obs}}$ .

tions were estimated roughly at the same order of magnitude (Jiao et al., 2014a; Legendre et al., 2015). Our regional simulation provided the average estimations of 1.55 and

$9.43 \text{ mg C m}^{-2} \text{d}^{-1}$  for MCP and BP sequestration, respectively. This MCP rate corresponded to 0.5% of the net PP, which is close to the value of 0.4–0.6% in previous estimates



**Figure 6** Multiyear-averaged seasonal production cycle in standard run ( $\text{mg C m}^{-2} \text{d}^{-1}$ ) for (a) regeneration production (RP), (b) new production (NP), (c) export production, and (d) RDOC production. J, January; F, February; M, March; A, April; M, May; J, June; J, July; A, August; S, September; O, October; N, November; D, December.



**Figure 7** For ExpT2, changing percentages of (a) RP, (b) NP, (c) export production, and (d) RDOC production with respect to the standard run as a function of climatological month. J, January; F, February; M, March; A, April; M, May; J, June; J, July; A, August; S, September; O, October; N, November; D, December.

(Hansell, 2013). In short-term cultured experiments (~1 month), bacteria were able to rapidly produce RDOC in the amount of 3–5% carbon of initial labile substrate (Gruber

et al., 2006). Although the timescale reported in the experiment was much shorter than in this study, the reported production rate could be considered as an upper limit of the



**Table 1** Production changing rates in experiments<sup>a)</sup>

$\Delta$ (%)	ExpT2	ExpT4
PP	-1.96	-0.85
P1	0.30	0.91
P2	-2.18	-1.61
P3	-0.09	-0.15
RP	-1.50	-0.03
NP	-2.41	-1.68
BP <sub>lk</sub>	-1.48	-2.81
$P_{\text{RDOC}}$	-1.44	-0.52

a)  $\Delta\%$ , all values were computed in a  $(\overline{x_{\text{Exp}}} - \overline{x_{\text{Con}}}) / \overline{x_{\text{Con}}}$  manner, while the subscripts Exp and Con respectively denotes experimental and control run. Overbar means taking average of all data from multiyear run. P1, P2, and P3 denotes PP by picophytoplankton, diatom, and coccolithophorids, respectively. The sum of three percentage is the total PP change rate (e.g.,  $\Delta P1\% = \Delta P1 / PP_{\text{con}}$ ).

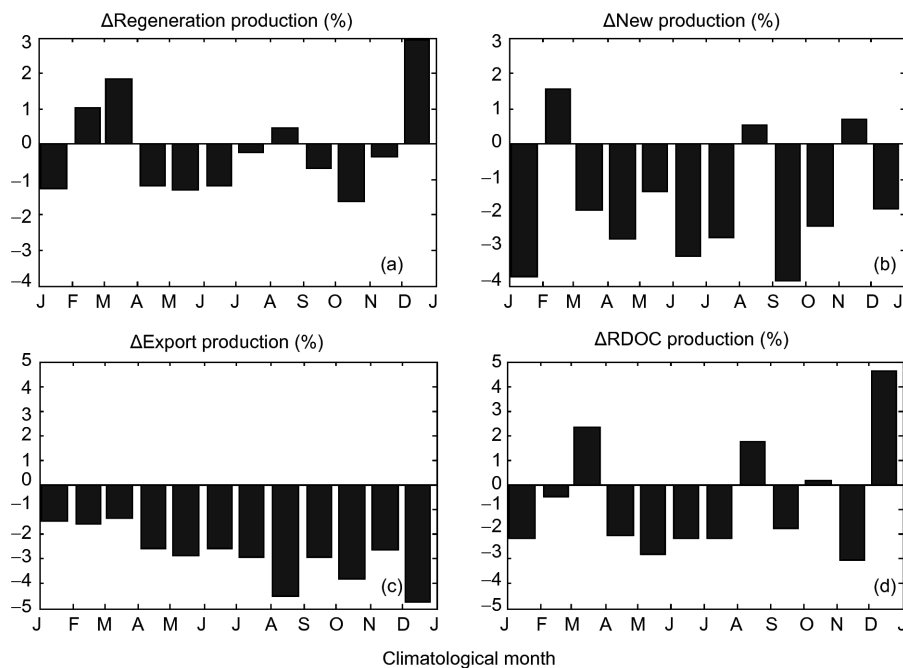
production rate. Even with the lowest measure of microbial transformation efficiency applied ( $<0.4\%$  of the net marine community production is shunted to RDOC by Osterholz et al. (2015)), the MCP is still enough to sustain the huge global RDOC pool. The BP sequestration calculated in the model was higher than that of the observation, ranging from  $0.78\text{--}8.25 \text{ mg C m}^{-2} \text{ d}^{-1}$  via sediment trap (Chen et al., 1998), while a large uncertainty existed in the estimation of MCP. Considering that BP was higher than the upper limit of observation and that the MCP fell near the lower limit, this MCP-to-BP ratio was probably underestimated by the model. In this section, the factors controlling MCP will be

further discussed.

#### 4.1 Influence by physical processes

In the ocean, the carbon sequestration can be influenced by various physical processes, such as upwelling, stratification/mixing, ocean circulation, and mesoscale eddies (Jiao et al., 2014a). In the SCS, the seasonality in NP, RP, and  $P_{\text{RDOC}}$  may be explained by the seasonal variation of the physical forcing, i.e., the East Asian Monsoon and the basin-scale circulation (Liu et al., 2002). Generally, monsoons control the nutrient supply in the SCS through wind-induced mixing and coastal upwelling, predominantly modulating the NP. Moreover, the temporal variation of  $P_{\text{RDOC}}$  and RP closely followed that of NP with a lag of roughly 1 month, as seen in Figure 6. The seasonality of RP and  $P_{\text{RDOC}}$  were both controlled by bacteria activity, which exclusively utilized and remineralized DOM while releasing ammonium in the ecosystem model.

The BP responded consistently to the increasing seawater temperature. The reduction in ExpT4 was more significant than that of ExpT2, as seen in Table 1. A similar BP response can be found in the projection of Moore et al. (2013). On the other hand, two effects on the MCP from the warmed SST were expected in the experiments. First, the elevated water temperature would favor the microbial activity, thereby increasing the MCP (Wohlers et al., 2009). Second, the accompanied increase of stratification would reduce the nutrient supply. Whether the second effect would enhance



**Figure 8** For ExpT4, changing percentages of (a) RP, (b) NP, (c) export production, and (d) RDOC production with respect to the standard run as a function of climatological month. J, January; F, February; M, March; A, April; M, May; J, June; J, July; A, August; S, September; O, October; N, November; D, December.

MCP is still under debate (Hansell and Carlson, 2002; Jiao et al., 2010). As our model does not represent the effect of a larger proportion of PP being transformed into RDOC under low nutrient conditions (Jiao et al., 2010), the reduction of  $P_{\text{RDOC}}$  ( $-1.4\%$ ) was smaller than the decline of PP ( $-2.0\%$ ) in ExpT2 and was due to the relative increase in bacteria activity. Moreover, the higher  $P_{\text{RDOC}}$  in ExpT4 than ExpT2, as evident in Table 1, suggests an increasing bacteria activity in response to the increased temperature, which partially compensates the PP reduction by enhanced remineralization.

#### 4.2 Influence by community structure

Shifts in phytoplankton community structure can significantly affect carbon cycling in surface waters and exports to the deep ocean (Jiao et al., 2014b). Generally, in nutrient-replete ecosystems, large phytoplankton such as diatoms grow rapidly, and the communities is favorable for POC export. Thus, diatoms often dominate in episodic phytoplankton blooms and contribute to the BP (Buesseler et al., 1998). In contrast, when the ecosystem is under strongly stratified and nutrient-limited conditions, pico- and nanophytoplankton often dominate the ecosystem. This condition is less favorable for export production, as the small-size particles tend to suspend in the surface water, favoring the sequestration of MCP. The role of community structure on the BP and the MCP was also revealed with *in situ* data in the Western SCS (Jiao et al., 2014b).

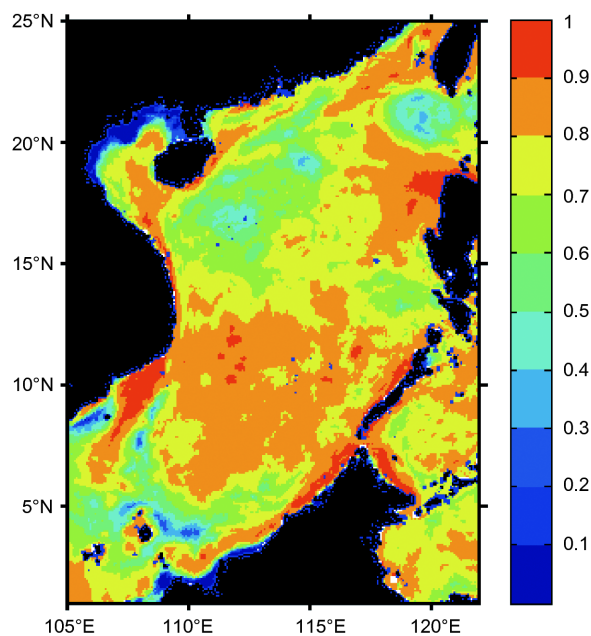
In the SCS where basin-wide upwelling occurred year-round (Wong et al., 2007), diatom was the most abundant species, presenting higher assemblage in winter (by cell number, 95.7%) than in summer (75.9%) (Ning et al., 2004). The all-year dominance by diatoms was also revealed by our ecosystem model, especially in the summer Vietnam upwelling system and the winter Luzon bloom system. Here, the nutrient-replete conditions stimulated more flourished diatom blooms with high productivity, as shown in our model Figure 3b and 3e. However, the diatom-dominated conditions were unfavorable for bacteria activity, since the larger diatom-particles sank faster. In the model setting, the bacteria production is ultimately supported by the supply of DOM in varying pathways. In general, diatom-dominating communities generated larger and faster-sinking POC. This resulted in a lower DOM supply rate in the model, as dissolution of POC was a major source of DOM. As a consequence, community shift from smaller to larger phytoplankton was linked with lower bacteria activity, and thereby a lower  $P_{\text{RDOC}}$ . This explains the relatively low  $P_{\text{RDOC}}$  in the upwelling systems, and the relatively high  $P_{\text{RDOC}}$  in the Kuroshio water, as seen in Figure 3. This is also consistent with the concept that the contribution of MCP could be expected to be relatively high in the oligotrophic ocean (Jiao et al., 2014a). To further characterize the influencing factor of

MCP, the geographical map of the correlation between the production percentage contributed by picophytoplankton (P1%) and the  $P_{\text{RDOC}}$  is shown in Figure 9. For each grid, the correlation coefficient was calculated between the multiyear time series of P1% and  $P_{\text{RDOC}}$  (vertical integrated). For most of the SCS, the correlation coefficients were well over 0.6, suggesting that P1% could be a reasonable proxy for the intensity of MCP, especially in the Vietnam and Luzon upwelling systems, where the correlation was even higher, with a maximum value of  $>0.9$ . In the low correlation regions, the P1% presented vague seasonal cycles and higher overall values. One example where this occurred is the central Luzon Strait, where the ecosystem is dominated by Kuroshio water year-round. This implies that the proxy could be more applicable in areas with higher community structure contrast.

Moreover, diatom dominated the decline in both of the two experiments due to its sensitivity in nutrient demand. The decline in production was accompanied by community structure shifts toward more picophytoplankton, as shown in Table 1. Moore et al. (2013) suggested the reduced fraction of diatom's contribution to the total PP in global warming scenarios. As diatom was much more efficient in the BP than other phytoplankton groups, the global warming condition may favor the MCP processes, as the model projection suggests.

## 5. Summary and conclusion

The spatiotemporal variability and relative importance of the



**Figure 9** Correlation coefficients between the percentage of primary production contributed by picophytoplankton (P1%) versus  $P_{\text{RDOC}}$ . Calculated from the time series in the multiyear run.

BP and the MCP in the SCS were simulated and accessed with a coupled physical-ecosystem model. In current standard model setting, the relative magnitude of MCP to BP (at the depth of 1000 m) had a ratio of 1:6.08. Considering the extremely long age of RDOC, this ratio is considered significant, indicating comparable roles of the two mechanisms. The annual production rate of RDOC averaged over the entire SCS domain was estimated to be  $1.55 \text{ mg C m}^{-2} \text{ d}^{-1}$ . The seasonality and distribution of MCP were largely determined by physical processes and the intensity of microbial activity.

Moreover, two numerical experiments with scenarios comparable to RCP4.5 and RCP8.5 were conducted to address the potential impacts of surface warming on the BP and the MCP. The model-projected near-surface stratification reduced the nutrient supply to the euphotic zone, which, in turn, decreased the PP and carbon export. The response of the BP is proportional to the changing climate, whereas the response of the MCP-related processes was rather nonlinear. In particular, our results indicate that the increased surface temperature could favor the microbial activities, thereby partially compensating the PP reduction. The decline of production in both scenarios was dominated by a reduction in diatom production, while the contribution from picophytoplankton contrarily increased. This projection suggests that under global warming conditions, the MCP-to-BP ratio might likely increase accompanied by a shift in the community structure, indicating a more important role of the MCP carbon sequestration in the ocean's future.

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